

REQUEST FOR PROPOSALS
CENTER ON PHILANTHROPY RESEARCH FUND—GENERAL
CENTER ON PHILANTHROPY AT INDIANA UNIVERSITY
SPRING 2011

I. CHANGES FROM 2010

The Center on Philanthropy at Indiana University depends on its endowment, which has fallen as a result of the economic climate, for support of the General Research Fund. The expectation is to award 15 percent less in grant dollars than in 2010. Therefore, the Center has revised the guidelines to reflect a reduced funding limit.

For the 2011 Spring Cycle the maximum recommended grant size has been reduced from \$15,000 to \$12,500.

Several changes made during the 2010 process will continue:

1. There will only be a solicitation for proposals for the General Research Fund. Funds will not be allocated to the Specific Research Areas Fund.
2. Equipment and travel expenses will not be considered for this cycle. Funds cannot be used for travel unless the travel is necessary for completion of the proposed work and a valid reason is given in the proposal.

In this environment of diminishing funding, it is critical that we align our resources with competitive proposals that advance the research in our current priority areas.

II. FUNDING PRIORITIES

The Center on Philanthropy at Indiana University conducts, coordinates, and supports research building a broad base of knowledge about the causes and consequences of philanthropic behavior involving individuals, communities, and institutions. The Center seeks to contribute to the knowledge base in the following **Research Priority Areas**:

1. The origins, defining characteristics, and development of philanthropic traditions across time, cultures, and social groups;
2. The role of philanthropic and nonprofit organizations in shaping communities and civil society;
3. The sources, motivations, and practices involved in generating, allocating, and managing philanthropic resources of time and money;
4. The types, scope, and composition of philanthropic resources and their significance for nonprofit organizations and the sector as a whole;
5. The governance and management of philanthropic institutions and nonprofit organizations.

III. FUNDING AWARD

For the Center on Philanthropy General Research Fund grants of **up to \$12,500** may be awarded to Indiana University faculty for research relating to issues facing philanthropy and nonprofit

organizations. Research related to philanthropy and race and culture, women, and youth will receive special consideration.

IV. DEADLINE FOR SUBMISSION

Proposals must be postmarked by **March 21, 2011**. The maximum grant period will be from **July 1, 2011** through **June 30, 2013**. All work done under the grant must be completed within these dates, although additional work on the research project may continue. Applicants will be notified by email immediately upon receipt of their proposal, and as soon as possible about its funding status, which should occur sometime in May.

V. ELIGIBILITY FOR THE CENTER ON PHILANTHROPY RESEARCH FUND

Grants will be awarded to faculty members affiliated with any of the campuses of Indiana University. Faculty members must apply to become Philanthropic Studies faculty members in order to be considered for funding. For more information on becoming a member of the Philanthropic Studies faculty, please contact Deborah Hirt, debhirt@iupui.edu. Proposals involving collaboration among nonprofit practitioners, academic researchers, independent scholars, and policy analysts are welcome.

VI. RESEARCH RESOURCES

The Center on Philanthropy can provide a list of practitioners who might be willing to collaborate in the research process. The Center also has research resources available for faculty use, such as datasets from the Center on Philanthropy Panel Study, Philanthropic Giving Index, and Nonprofit Sector in Indiana Study. Please contact Una Osili (uosili@iupui.edu) or Deborah Hirt (debhirt@iupui.edu) for further information.

VII. PROPOSAL MATERIALS

Research proposals must be double-spaced, not to exceed 11 pages plus attachments, and must include:

- 1) a one-page executive summary of the proposal with a brief description of the project, the main research questions to be addressed, and the types of organizations and populations to be studied;
- 2) an explicit statement of the research questions to be examined;
- 3) a description of how the research will contribute to the knowledge base in the Center's research priority areas;
- 4) a description of the conceptual or theoretical framework that will guide the research;
- 5) a review of relevant literature indicating how the research will build upon existing work in the field, or how the research will pioneer a neglected area;
- 6) a description and justification of the methodology, data collection and data analysis, and types of organizations or populations targeted by the research;
- 7) a time frame and schedule for completing the project;
- 8) a dissemination plan;

- 9) a detailed budget and a narrative description of the budget. Please identify budget items of highest priority for the project; and
- 10) a description of whether there is potential to leverage other funding for the research (little potential, however, does not negate funding). Proposals with potential external funding will be more competitive in the specific RFP.

Proposal Attachments must include:

- 1) a fully completed Application Form (**attached to the front of the application**);
- 2) a one-page resume for each principal researcher, to include information on the person's background most relevant to the project;
- 3) one publication or brief (e.g., 5-page) sample of analytic writing; and
- 4) names, addresses, and telephone numbers of three persons who can serve as references.

Send 1 electronic copy and 5 hard copies of the proposal and attachments to:

Deborah Hirt
Center on Philanthropy at Indiana University
550 West North Street, Suite 301
Indianapolis, IN 46202-3162
Phone: (317) 278-8957 Email: debhirt@iupui.edu

VIII. GRANTS PROCESS AND EXPECTATIONS

The COP Research Committee will request mid-project grant reports and will require a final written report within 2 months of the end of the proposed project. The final report should include an executive summary of key findings, a list of budget expenditures, and a list of publications or other outcomes of the research.

All research grantees will be expected to submit a working paper (or similar product or presentation, as appropriate) to the Center as an outcome of each grant. Compliance will be used in evaluation of future research proposals by that author. Grant recipients will also be encouraged to make active contributions to the Philanthropic Studies faculty.

IX. GENERAL GUIDELINES

1. The grantee maintains the right to use his/her research as appropriate.
2. The grantee will give appropriate acknowledgement of funding provided by the Center and specify that the Center is not responsible for conclusions drawn by the researcher.
3. Applicants, grantees, and the Research Committee will adhere to conflict of interest procedures developed by the committee.
4. All grantees will follow the Indiana University human and animal subjects review process for research projects. **Funds cannot be distributed for projects involving human subjects until the grantee has demonstrated that IRB approval has been received. It is recommended that you apply for IRB approval while your proposal is being reviewed as it can take between 4 to 6 weeks for approval.**

X. BUDGET GUIDELINES

1. General overhead will not be paid. Equipment and travel expenses **will not** be considered for this cycle.
2. Summer salary for faculty may not exceed \$8,000, *plus summer fringe benefits at a rate of 25.95 percent*. Fringe benefits must be included in the budget request, or that amount will be deducted from the stipend amount. *Faculty must agree not to teach for the summer session.*
Note: COP will no longer provide replacement salaries (“course buyouts”) for faculty during the regular academic year.
3. Conference activity will not be funded unless it is an integral part of the research process or results in a research project.

XI. CONFLICT OF INTEREST POLICIES

1. The Chair of the Research Committee will, in consultation with the Director of Research, appoint review committee members with expertise relevant to the proposals examined in the given cycle.
2. A review committee may seek external reviews if it wishes to obtain additional expert assessments to judge proposals adequately.
3. In those cases where members of the Research Committee have submitted requests for funding, they will excuse themselves from participation in that cycle of proposal review. A review committee may seek the advice of excused members to use in the evaluation of other proposals in the cycle where the excused person has particular expertise.

**Grant Application Form
COP Research Fund – General
Spring 2011**

Author Information

Author 1

Name:
Department, Campus:
Applicant's Mailing Address:
City, State, Zip:

Telephone:
Fax:
E-mail:

Please attach a separate sheet if necessary to indicate contact information for other authors.

Project Information

Project Title:

Amount Requested: \$

This application is for a (check one):

- New proposal
- Revised/resubmitted proposal
- Continuation grant/proposal
- Expansion grant/proposal

Dates and Duration of the Project:

Other Funding Received: Amount:

Other Funding Applied For: Amount:

Project Summary

Briefly describe the project, including research methods, and project relevance.